Shareholding Pattern

OCTAWARE TECHNOLOGIES LIMITED

General Information

Scrip code	540416
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE208U01019
Whether company is SME	true
Class of Security	Equity Shares
Type of report	Half yearly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	false

Declaration

Searial No.	Particular	Yes / No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	false	false	false	false
2	Whether the Listed Entity has issued any Convertible Securities ?	false	false	false	false
3	Whether the Listed Entity has issued any Warrants ?	false	false	false	false
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	false	false	false	false
5	Whether the Listed Entity has any shares against which depository receipts are issued?	false	false	false	false
6	Whether the Listed Entity has any shares in locked-in?	false	false	false	false
7	Whether any shares held by promoters are encumbered under 'Pledge'?	false	false		
8	Whether any shares held by promoters are encumbered under 'Non-Disposal Undertaking'?	false	false		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	false	false		
10	Whether company has equity shares with differential voting rights?	false	false	false	false
11	Whether the listed entity has any significant beneficial owner?	false			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities(XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholdi , as a % assumin full conversio of convertib securities as a percentag of dilute share capital) (XI (VII)+(X)As % of (A+B+C2
								No of Voting (XIV) Rights			Total as a % of (A+B+C)						
								Class eg:X	Class eg:Y	Total							
(A)	Promoter & Promoter Group	1	1965224			1965224	54.73	1965224		1965224	54.73					1965224	54.73
(B)	Public	135	1625346			1625346	45.27	1625346		1625346	45.27					1625346	45.27
(C)	Non Promoter- Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	Total	136	3590570			3590570	100.00	3590570		3590570	100.00					3590570	100.00

Shareholding Pattern

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No Sha Under Outsta conve secur No. Warr and E etc. ((XA+XI
											No of Voting (XIV) Rights			Total as a % of Total Voting rights				
											Class eg:X	Class eg:Y	Total					
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																	
(1)	Indian																	
(a)	Individuals/Hindu undivided Family				1	1965224			1965224	54.73	1965224		1965224	54.73				
	MOHAMMED ASLAM QUDRATULLAH KHAN				1	1965224			1965224	54.73	1965224		1965224	54.73				
(b)	Central Government/ State Government(s)																	
(c)	Financial Institutions/ Banks																	
(d)	Any Other (specify)																	
	Sub-Total (A)(1)				1	1965224			1965224	54.73	1965224		1965224	54.73				
(2)	Foreign																	
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																	
(b)	Government																	
(c)	Institutions																	
(d)	Foreign Portfolio Investor																	
(e)	Any Other (specify)																	
	Sub-Total (A)(2)																	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				1	1965224			1965224	54.73	1965224		1965224	54.73				
B	Table III - Statement showing shareholding pattern of the Public shareholder																	
(1)	Institutions (Domestic)																	
(a)	Mutual Funds																	
(b)	Venture Capital Funds																	
(c)	Alternate Investment Funds																	
(d)	Banks																	
(e)	Insurance Companies																	
(f)	Provident Funds/ Pension Funds																	
(g)	Asset reconstruction companies																	
(h)	Sovereign Wealth Funds																	
(i)	NBFCs registered with RBI																	
(j)	Other Financial Institutions																	
(k)	Any Other (specify)																	
	Sub-Total (B)(1)																	
(2)	Institutions (Foreign)																	
(a)	Foreign Direct Investment																	
(b)	Foreign Venture Capital Investors																	
(c)	Sovereign Wealth Funds																	
(d)	Foreign Portfolio Investors Category I																	
(e)	Foreign Portfolio Investors Category II																	
(f)	Overseas Depositories (holding DRs) (balancing figure)																	
(g)	Any Other (specify)																	
	Sub-Total (B)(2)																	
(3)	Central Government / State Government(s)																	
(a)	Central Government / President of India																	
(b)	State Government /																	

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No Sha Under Outsta conve secur No. Warr and E etc. ((XA+XI
											No of Voting (XIV) Rights			Total as a % of Total Voting rights				
											Class eg:X	Class eg:Y	Total					
	Governor																	
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter																	
	Sub-Total (B)(3)																	
(4)	Non-institutions																	
(a)	Associate companies / Subsidiaries																	
(b)	Directors and their relatives (excluding independent directors and nominee directors)																	
(c)	Key Managerial Personnel																	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																	
(f)	Investor Education and Protection Fund (IEPF)																	
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs				96	310400			310400	8.64	310400		310400	8.64				
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs				6	991176			991176	27.60	991176		991176	27.60				
	SHAHNAWAZ AIJAZUDDIN SHAIKH				1	475348			475348	13.24	475348		475348	13.24				
	MOHAMMED SIRAJ MOINUDDIN GUNWAN				1	323057			323057	9.00	323057		323057	9.00				
	MIRZA HAROON BAIG				1	95071			95071	2.65	95071		95071	2.65				
	SULTAN ABDUL KADER				1	51300			51300	1.43	51300		51300	1.43				
(i)	Non Resident Indians (NRIs)				25	121311			121311	3.38	121311		121311	3.38				
(j)	Foreign Nationals				7	98459			98459	2.74	98459		98459	2.74				
	AHMED AL AKEEL				1	39200			39200	1.09	39200		39200	1.09				
(k)	Foreign Companies																	
(l)	Bodies Corporate				1	104000			104000	2.90	104000		104000	2.90				
	HADICON VENTURES PRIVATE LIMITED				1	104000			104000	2.90	104000		104000	2.90				
(m)	Any Other (specify)																	
	Sub-Total (B)(4)				135	1625346			1625346	45.27	1625346		1625346	45.27				
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				135	1625346			1625346	45.27	1625346		1625346	45.27				
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																	
(1)	Custodian/DR Holder - Name of DR Holders (If Available)																	
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021																	

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No Sha Under Outsta conve secur No. Warr and E etc. ((XA+XI
											No of Voting (XIV) Rights			Total as a % of Total Voting rights				
											Class eg:X	Class eg:Y	Total					
	Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)																	
	Total (A+B+C2)				136	3590570	0	0	3590570	100.00	3590570	0	3590570	100.00	0	0	0	0
	Total (A+B+C)				136	3590570			3590570	100.00	3590570		3590570	100.00				
Disclosure of notes on shareholding pattern																		
Disclosure of notes in case of promoter holding in dematerialsed form is less than 10																		
Disclosure of notes in case of public share holding is less than 25 percenta																		
Disclosure of notes on shareholding pattern for company remarks explanat																		

Table VI - Statement showing foreign ownership limits

Particular	Approved limits(%)	Limits utilized(%)
As on shareholding date	100.00	100.00
As on the end of previous 1st quarter	100.00	0.14
As on the end of previous 2nd quarter	100.00	0.15
As on the end of previous 3rd quarter	100.00	0.15
As on the end of previous 4th quarter	100.00	0.17