



Date: 19.04.2022

To,  
BSE SME Platform,  
Regd. Office: 25<sup>th</sup> Floor,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400001.

**Scrip Code: 540416**

**Sub: Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations, 2015**

Respected Sir/Madam,

I am hereby enclosing the Certificate as required under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended 31<sup>st</sup> March, 2022.

Please take on the record the submission and oblige.

Thanking You,

Yours Faithfully,

*For Octaware Technologies Limited*

*Alka Katariya*

**Alka Katariya**  
*Company Secretary & Compliance Officer*

Encl: As Below

# C. B. JAIN & ASSOCIATES.

## Practicing Company Secretaries

Office No. 19, 2<sup>nd</sup> Floor, 30/34 Kartar Premises CSL a.k.a Nanik Niwas, Dr. DD Sathe Marg, Benham Hall Lane,  
Opera House, Mumbai – 400004.

E-mail id: [cscbjain.associates@gmail.com](mailto:cscbjain.associates@gmail.com)

Mob No: 9664844938 / Tel (O): 022-49736938

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE YEAR ENDED 31<sup>st</sup> March, 2022.**

On the basis of examination of all relevant Books, register, forms, documents and papers made available to us by Cameo Corporate Services Limited, Registrars and Transfer Agents of M/s. Octaware Technologies Limited, for the purpose of issuing certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and on the basis of the explanation given to us, we hereby certify that in relation to the year period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022, there were no requests received for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies:

Date	Transfer or	Folio No of transfer	No of Shares transfered	Consideration (INR)	Transferee	Share certificate numbers	Folio No of transferee
-	-	-	-	-	-	-	-



Mr. Chirag Jain  
(Practicing Company Secretary)

For C. B. JAIN & ASSOCIATES.,  
Membership No. A37337  
C.P.No. 13973

Place: Mumbai

Date: April 19, 2022

UDIN No. A037337D000153629