

Annexure - I

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Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : OCTAWARE TECHNOLOGIES LIMITED
2. Scrip Code / Name of Scrip / Class of Security / / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Mar-2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Mar-2017
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?	YES	
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights		Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y								
A.	PROMOTER & PROMOTER GROUP	2	1730024	0	0	1730024	48.18	1730024	0	1730024	48.18	0	1730024	100	0	0	1730024
B.	PUBLIC	391	1860546	0	0	1860546	51.82	1860546	0	1860546	51.82	0	905346	48.66	0	0	1555976
C.	NON PROMOTER-NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1.	SHARES UNDERLYING DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	393	3590570	0	0	3590570	100	3590570	0	3590570	100	0	2635370	73.4	0	0	3286000

Table II

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class X	Class Y								
1. INDIAN																	
a. Individuals/Hindu undivided Family																	
Names :																	
MOHAMMED ASLAM QUDRATULLAH KHAN	AGBPK7367B		1084742			1084742	30.21	1084742	0	1084742	30.21		1084742	62.7			1084742
SAJID IQBAL ABDUL HAMEED	AJWPS0895G		645282			645282	17.97	645282	0	645282	17.97		645282	37.3			645282
b. Central Government/ State Government(s)																	
c. Financial Institutions/ Banks																	
d. ANY OTHER																	
Sub-Total (A)(1)			2	1730024	0	1730024	48.18	1730024	0	1730024	48.18	0	1730024	100	0	0	1730024
2. FOREIGN																	
a. Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)																	
b. Government																	
c. Institutions																	
d. Foreign Portfolio Investor																	
f. ANY OTHER																	
Sub-Total (A)(2)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)			2	1730024	0	1730024	48.18	1730024	0	1730024	48.18	0	1730024	48.18	0	0	1730024
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

1.	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									Class X	Class Y	Total			Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)		As a % of total Shares held (Not applicable) (b)
1. INSTITUTIONS																			
a.	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b.	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e.	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f.	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g.	Insurance Companies/ Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h.	ANY OTHER		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i.	Market Maker		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	Central Government/ State Government(s)/ President of India																		
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. NON-INSTITUTIONS																			
a.	INDIVIDUALS -																		
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		294	619100	0	0	619100	17.24	619100	0	619100	17.24	0	0	108700	5.84	NA		510400
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	672876	0	0	672876	18.74	672876	0	672876	18.74	0	0	652076	35.05	NA		621576
	Names :																		
	MIRZA HAROON IBRAHIM BAIG	AILPB4953G		133571			133571	3.72	133571	0	133571	3.72	0	133571	7.18	0		133571	
	MOHAMMED SIRAJ MOINUDDIN GUNWAN	AKDPG9648M		263857			263857	7.35	263857	0	263857	7.35	0	263857	14.18	0		263857	
	SHAHNAWAZ ALJAZUDDIN SHAIKH	AKHPS9488H		203348			203348	5.66	203348	0	203348	5.66	0	203348	10.93	0		203348	
	SULTAN ABDUL KADER			51300			51300	1.43	51300	0	51300	1.43	0	51300	2.76	0		51300	
b.	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e.	ANY OTHER		5	251200	0	0	251200	7	251200	0	251200	7	0	0	0	0	0	0	251200
	BODIES CORPORATE																		
	Names :																		
	YUVA TRADING CO PRIVATE LIMITED	AAACY3322N		60800			60800	1.69	60800	0	60800	1.69	0	0	0	0	0	0	60800
	PREMIUM POLYCOT PVT LTD	AAACP1453A		56000			56000	1.56	56000	0	56000	1.56	0	0	0	0	0	0	56000
	ARYAMAN CAPITAL MARKETS LIMITED	AAHCA7893B		49600			49600	1.38	49600	0	49600	1.38	0	0	0	0	0	0	49600
	SPIRITUAL MARKETING PRIVATE LIMITED	AALCS7427D		57600			57600	1.6	57600	0	57600	1.6	0	0	0	0	0	0	57600
	Foreign Individuals		7	98459	0	0	98459	2.74	98459	0	98459	2.74	0	0	98459	5.29	NA		0
	Names :																		
	AHMED AL AKEEL			39200			39200	1.09	39200	0	39200	1.09	0	39200	2.11	0	0	0	0
	HINDU UNDIVIDED FAMILIES		42	68800	0	0	68800	1.92	68800	0	68800	1.92	0	0	0	0	0	0	68800
	NRI - Non- Repat		10	68600	0	0	68600	1.91	68600	0	68600	1.91	0	0	35000	1.88	NA		33600
	NRI - Repat		28	81511	0	0	81511	2.27	81511	0	81511	2.27	0	0	11111	0.6	NA		70400
	Sub-Total (B)(3)		391	1860546	0	0	1860546	51.82	1860546	0	1860546	51.82	0	0	905346	48.66			1559976
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		391	1860546	0	0	1860546	51.82	1860546	0	1860546	51.82	0	0	905346	25.21			1559976

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)	
									No. of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)		
									Class X	Class Y									Total
1.	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0